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| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | | ATTORNEY'S DOCKET NUMBER 3868-0160PUS1 |
| INTERNATIONAL APPLICATION NO. PCT/EP2003/008236 | | INTERNATIONAL FILING DATE 25 July 2003 | U.S. APPLICATION NO. (U.S. PATENT NO.) 107523012 NEW |
| TITLE OF INVENTION MEDICAMENT AND PROCESS FOR REDUCING ALCOHOL AND/OR TOBACCO CONSUMPTION | | PRIORITY DATE CLAIMED 3 August 2002 | |
| APPLICANT(S) FOR DO/EO/US Joachim MOORMANN; Klaus OPITZ; and Hermann MUCKE | | | |

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:
 1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.

2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
 3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.

4. ☒ The US has been elected (Article 31).

5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))

a. ☒ is attached hereto (required only if not communicated by the International Bureau).

b. ☐ has been communicated by the International Bureau.

c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).

6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).

a. ☒ is attached hereto.

b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).

7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)).

a. ☐ are attached hereto (required only if not communicated by the International Bureau).

b. ☐ have been communicated by the International Bureau.

c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.

d. ☒ have not been made and will not be made.

8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).

9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).

10. ☒ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

13. ☒ A preliminary amendment.

14. ☒ An Application Data Sheet under 37 CFR 1.76.

15. ☐ A substitute specification.

16. ☐ A power of attorney and/or change of address letter.

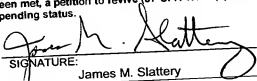
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.

18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).

19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

20. ☒ Other items or information: PCT/IPEA/409; PCT/ISA/210

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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|--|-----------------------|---|------------|---|-----------------|
| U.S. APPLICATION NO. (if known) (37 CFR 1.53) 10/2425012 | | INTERNATIONAL APPLICATION NO. PCT/EP2003/008236 | | ATTORNEY'S DOCKET NUMBER 3868-0160PUS1 | |
| 21. The following fees are submitted: | | | | Applicant Use | Office Use Only |
| <input checked="" type="checkbox"/> | a) Basic national fee | \$300.00 | | \$ 300.00 | |
| <input checked="" type="checkbox"/> | b) Examination fee | \$200.00 | | \$ 200.00 | |
| <input checked="" type="checkbox"/> | c) Search fee | \$500.00 | | \$ 500.00 | |
| TOTAL OF ABOVE CALCULATIONS = \$1000.00 | | | | \$ 1,000.00 | |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | |
| Total Sheets | Extra sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE | | |
| 16 - 100 = | /50 = | | x \$250.00 | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)). | | | | \$ 130.00 | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
| Total claims | 19 - 20 = | x | \$ 0.00 | | |
| Independent claims | 2 - 3 = | x | \$ 0.00 | | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 1,130.00 | |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | | \$ 565.00 | |
| SUBTOTAL = | | | | \$ 565.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | \$ 565.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | \$ | |
| TOTAL FEES ENCLOSED = | | | | \$ 565.00 | |
| Amount to be refunded: | | | | \$ | |
| Amount to be charged: | | | | \$ | |
| a. <input checked="" type="checkbox"/> A check in the amount of \$ 565.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2448. A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | |
| SIGNATURE:  | | | | | |
| NAME: James M. Slattery | | | | | |
| CUSTOMER NUMBER: 02292 | | | | | |
| February 1, 2005 | | | | | |
| REGISTRATION NUMBER: 28,380 | | | | | |
| /c/b | | | | | |

Docket No.: 3868-0160PUS1
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Joachim MOORMANN et al.

Application No.: Not Yet Assigned

Confirmation No.: N/A

Filed: February 1, 2005

Art Unit: N/A

For: MEDICAMENT AND PROCESS FOR REDUCING
ALCOHOL AND/OR TOBACCO CONSUMPTION

Examiner: Not Yet Assigned

LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

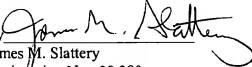
Sir:

The PTO is requested to use the amended sheets/claims attached hereto (which correspond to Article 19 amendments or to claims attached to the International Preliminary Examination Report (Article 34)) during prosecution of the above-identified national phase PCT application.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37.C.F.R. §1.16 or 1.14; particularly, extension of time fees.

Dated: February 1, 2005

Respectfully submitted,

By 

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